



IPG/2162 \$

PATENT

Customer No. 22,852
Attorney Docket No. 06502.0054-01000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Ann M. WOLLRATH et al.) Group Art Unit: 2162
Application No.: 10/015,801)
Examiner: Jean B. Fleurantin
Filed: December 17, 2001)
Confirmation No. 1256
For: METHOD AND APPARATUS FOR)
TRANSPORTING BEHAVIOR IN)
AN EVENT-BASED DISTRIBUTED)
SYSTEM)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed foreign and non-patent literature documents are attached.

Copies of the U.S. patent publications are not enclosed.

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Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

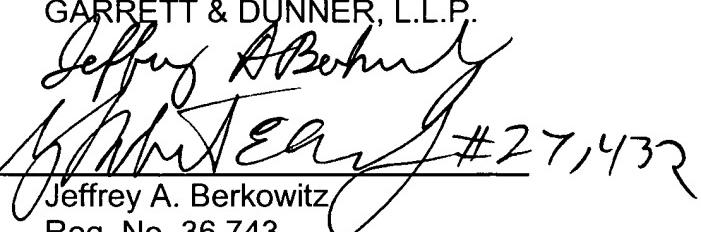
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By:


Jeffrey A. Berkowitz
Reg. No. 36,743

Dated: March 29, 2006

MAR 20 2001

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/015,801
		Filing Date	December 17, 2001
		First Named Inventor	Ann M. WOLLRATH
		Art Unit	2172
		Examiner Name	J. FLEURANTIN
Sheet	1	of	4
		Attorney Docket Number	06502.0054-01000

wU.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
		3,449,669	6/10/1969	Granqvist	
		4,800,488	1/24/1989	Agrawal et al.	
		4,819,233	4/4/1989	Delucia et al.	
		5,339,430	8/16/1994	Lundin et al.	
		5,341,477	8/23/1994	Pitkin et al.	
		5,491,791	2/13/1996	Glowny et al.	
		5,674,982	6/9/1998	Madduri	
		5,682,534	10/28/1997	Kapoor et al.	
		5,721,825	2/24/1998	Lawson et al.	
		5,734,706	3/31/1998	Windsor et al.	
		5,764,982	9/9/1998	Madduri	
		5,806,042	9/8/1998	Kelly et al.	
		5,887,172	3/23/1999	Vasudevan et al.	
		5,905,868	5/18/1999	Baghai et al.	
		5,915,112	6/22/1999	Boutcher	
		5,925,108	7/20/1999	Johnson et al.	
		5,960,404	9/28/1999	Chaar et al.	
		5,966,435	10/12/1999	Pino	
		5,978,773	11/2/1999	Hudetz et al.	
		6,003,065	12/14/1999	Yan et al	
		6,014,686	1/11/2000	Elnozahy et al.	
		6,018,619	1/25/2000	Allard et al.	
		6,041,351	3/21/2000	Kho	
		6,085,030	7/4/2000	Whitehead et al.	
		6,092,194	7/18/2000	Touboul	
		6,101,528	8/8/2000	Butt	
		6,104,716	8/15/2000	Crichton et al.	
		6,154,844	11/28/2000	Touboul et al.	

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	2	of	4	Complete if Known
				<i>Application Number</i> 10/015,801
				<i>Filing Date</i> December 17, 2001
				<i>First Named Inventor</i> Ann M. WOLLRATH
				<i>Art Unit</i> 2172
				<i>Examiner Name</i> J. FLEURANTIN
				<i>Attorney Docket Number</i> 06502.0054-01000

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

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		Number-Kind Code (if known)			
		6,157,960	12/5/2000	Kaminsky et al.	
		6,189,046	2/13/2001	Moore et al.	
		6,212,578	4/3/2001	Racicot et al.	
		6,243,814	6/5/2001	Matena	
		6,269,401	7/31/2001	Fletcher et al.	
		6,282,581	8/28/2001	Moore et al.	
		6,292,934	9/18/2001	Davidson et al.	
		6,301,613	10/9/2001	Ahlstrom et al.	
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		6,327,677	12/4/2001	Garg et al.	
		6,351,735	2/26/2002	Deaton et al.	
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		6,363,409	3/26/2002	Hart et al.	
		6,408,342	6/18/2002	Moore et al.	
		6,418,468	7/9/2002	Ahlstrom et al.	
		6,505,248	1/7/2003	Casper et al.	
		6,564,174	5/13/2003	Ding et al.	
		6,578,074	6/10/2003	Bahlmann	
		6,603,772	8/5/2003	Moussavi et al.	
		6,604,127	8/5/2003	Murphy et al.	
		6,604,140	8/5/2003	Beck et al.	
		6,654,793	11/25/2003	Wollrath et al.	
		6,704,803	3/9/2004	Wilson et al.	
		6,757,729	6/29/2004	Devarakonda et al.	
		6,801,940	10/5/2004	Moran et al.	
		6,801,949	10/5/2004	Bruck et al.	
		6,804,711	10/12/2004	Dugan et al.	
		6,804,714	10/12/2004	Tummalapalli	

Examiner Signature		Date Considered
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		Number-Kind Code (<i>if known</i>)			
		US 2001/0003824 A1	6/14/2001	Schnier	
		US2001/0011350 A1	8/2/2001	Zabetian	
		US2002/0059212A1	5/16/2002	Junji Takagi	
		US2002/0073019 A1	6/13/2002	Deaton	
		US2002/0111814 A1	8/15/2002	Barnett et al.	
		US2003/0005132 A1	1/2/2003	Nguyen et al.	
		US2003/0084204	5/1/2003	Wollrath et al.	
		US2003/01919842 A1	10/9/2003	Murphy et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
		Country Code Number Kind Code (<i>if known</i>)				
		WO01/13228 A2	2/22/2001	PCT		
		WO01/86394 A2	11/15/2001	PCT		
		WO01/90903 A1	11/29/2001	PCT		
		WO99/17194	4/8/1999	PCT		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
		"Dynamic code downloading using RMI", http://java.sun.com/j2se/1.4.2/docs/guide/mi/codebase.html , 2003	
		"Passing Proxies as Parameters to Methods and Return Values from Methods", IBM Technical Disclosure Bulletin, vol. 41, no. 1, Jan. 1998, pp. 89-92	
		Amitabh et al., Proxies, Application Interfaces, and Distributed Systems, IEEE, pp. 212-220, 1992.	
		Anonymous, "Jini Distributed Leasing Specification", Sun Microsystems, January 1999, XP-002209076	

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IDS Form PTO/SB/08: Substitute for form 1449A/PTO				<i>Complete if Known</i>	
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Sheet	4	of	4	<i>Attorney Docket Number</i>	06502.0054-01000

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